[JFY2027]

THE GOVERNMENT OF JAPAN
GRANT ASSISTANCE FOR GRASSROOTS HUMAN SECURITY PROJECTS
(KUSANONE)
CONSULATE-GENERAL OF JAPAN IN CHIANG MAI

GUIDELINES

Introduction

The Government of Japan extends Official Development Assistance (ODA) to Thailand to assist the social and economic development of the country. The Grant Assistance for Grassroots Human Security Projects scheme (KUSANONE), one of the ODA schemes, is intended to promote socio-economic development at the grassroots level as well as to maintain Human Security by directly supporting existing projects implemented by non-profit organizations such as Non-Governmental Organizations (NGOs) and medical/ educational institutions. KUSANONE provides the projects with effective means to help them achieve their own goals more efficiently and effectively.

Areas and eligibility for assistance

(1) Areas of assistance

KUSANONE mainly targets areas that aim to improve Basic Human Needs (BHN) such as projects that are highly beneficial at the grass-roots level and those that require timely support on humanitarian grounds.

*Typical projects include:

－Construction of primary and secondary schools
－Improvement of essential medical equipment for medical institutions
－Water well drilling and system installation
－Providing fire engine cars or rescue vehicles

In addition to the activities described above, KUSANONE addresses a variety of local needs in a flexible manner. Please contact the Consulate General of Japan in Chiang Mai for more details.

The following areas will NOT be eligible for KUSANONE assistance:

1) Projects which do not directly benefit the grassroots level, e.g. research activities in higher educational institutes, expanding administrative facilities which will result in increased capacity for the applicants, etc.
2) Commercial activities which are not in line with KUSANONE assistance.
3) Non socio-economic development projects, e.g., artistic, cultural and sports activities.
4) Political, missionary, and military activities.
5) Additional grants for the same projects.

(2) Target group

The target group of the projects is "socially vulnerable people" whose situation might be aggravated without any imperative assistance from an external donor.

(3) Region and Provinces

9 provinces in the North: Chiang Mai, Chiang Rai, Lampang, Lamphun, Mae Hong Son, Nan, Phayao, Phrae and Uttaradit.

(4) Applicants

Non-profit organizations as specified below:

1) Non-Governmental Organizations (NGOs),
2) Medical Institutes (e.g., hospitals, health centers, etc.),
3) Educational Institutes (e.g. primary schools, junior high schools),
4) Local Governments (e.g. Tambol Administration Organizations).

* Individuals and commercial organizations may not apply.
* An applicant organization needs to have been active for at least two years.

As for Japanese NGOs, there is a scheme named *Grant Assistance for Japanese NGO projects* which is under the NGO support division of the Japanese Ministry of Foreign Affairs. Please visit the website http://www.mofa.go.jp for further information.

Grant amount/coverage

(1) Grant amount

In principal, the grant amount is not more than 25 million yen (approximately 5.6 million Thai baht, depending on the foreign exchange rate set by the Government of Japan each year) per project; however, amounts up to a maximum of 100 million yen will be considered for projects which strongly reflect human security aspects.

(2) Grant coverage

KUSANONE mainly supports tangible items such as the construction of primary schools, clinics and wells as well as the provision of equipments. On the other hand, intangible items such as capacity building, technical guidance for operation and maintenance, awareness-raising campaigns and reinforcement of community associations contingent on tangible items may also be eligible for support.

GGP/KUSANONE does NOT cover the following items:

A Office expenses (office rental fee, salary for employees etc.)
B Contingency Funds
C Expenses incurred on individual or corporate profit-making activities
D Funding and items aimed at providing direct funds and assets to specific individuals such as scholarships, accommodation, clothing, etc. (however, exceptions may be made in situations requiring emergency humanitarian aid in the event of natural disasters, etc.)
E Expenses linked to indulgences that may be harmful to the human body, such as alcohol and cigarettes

F Research expenses that do not have clear direct benefits for the population

(3) The following items are not eligible for GGP/KUSANONE and should be shouldered by the recipient organization.

A Operation-related costs (personnel and operational costs, etc. that are indispensable to the project)

B Maintenance and management costs for the provided goods

C Vaccines

D Consumables, small fixtures

E Books (teaching materials, reference materials for educational projects, books for library collections, etc.)

F General passenger vehicles (vehicles that are highly universal and can be used for private purposes.)

G Electronic equipment such as personal computers, etc.

H Banking fees (charges for remittances from the Consulate-General of Japan in Chiang Mai to the bank account of the recipient, opening and closing fees of a dedicated bank account for GGP/KUSANOE, account maintenance commissions, foreign-exchange fees, etc.)

I Administrative fees, vehicle registration fees, etc. that can be a source of revenue for the national and local governments

J Taxes (value added tax etc.)

Responsibility of recipients

(1) Use the grant properly

The grant should be used properly and exclusively for the purchase of products and/or services necessary for the execution of the project.

(2) Operation and maintenance

Recipients should execute proper operation and maintenance during the execution and after the completion of the Project.

(3) Opening of a dedicated bank account

After gaining approval, recipients will be required to open a dedicated bank account for the disbursement of the funds. It is preferable to adopt a special banking contract scheme, whereby the written consent of the Consulate-General of Japan in Chiang Mai is required for the recipient organization to make every payment to suppliers or other relevant parties out of the dedicated bank account. The bank account should be a checking account in the name of the project (not the name of the organization).

(4) External audit

1)After the completion of the project, an external audit should be conducted in order to verify that the funds have been used properly. In principle, the external audit shall be performed by an organization or individual with an official auditing qualification in the

country where the project is being implemented.

2)The external audit fee may be eligible for support by KUSANONE. In principle, a quotation from three suppliers should be required as with other items.

3)Upon the completion of the project, an audit report shall be submitted by the auditor to the recipient. The recipient is required to submit a copy of this report to the Consulate-General of Japan in Chiang Mai. This report should contain the following elements:

A :Verification of financial records (income, direct expenditure, operational expenditure related to the project, etc.)

B: Verification of facts (procurement and delivery of equipment, use of equipment, etc.)

C: Inspections of the project site (attach photographs to the report)

(5) Reporting

The interim report and Completion report should be submitted to the Consulate-General of Japan in Chiang Mai as per the formats in the annex.

(6) Visibility

In order to ensure the visibility of Japan's grant assistance, the recipient shall endeavor to cooperate with the Consulate-General of Japan in carrying out some PR activities such as organizing a handover ceremony, placing stickers or attaching a plate or a sign displaying the flag of Japan onto the provided supplies and buildings.

(7) In case of Unforeseen Circumstances

After the approval and the signing of the Grant Contract, in principle, it is the recipient's responsibility to properly complete the project even when unforeseen circumstances such as an unexpected shortfall arise. However, if such an unexpected shortfall is due to unavoidable exogenous factors such as a natural disaster, a sudden rise in material prices or fluctuation in exchange rates, the recipient may request support from the Consulate-General of Japan in Chiang Mai a support for follow-up expenses in order to complete the project. Please consult the Consulate-General of Japan in Chiang Mai for more details.

Duration of the project

The project must be completed and the grant money must be spent within one year from the day when the grant contract is signed.

Application period

Applications must be received by 12th February, 2027.

Remarks

1) Applications should be made in Thai or English.
2) All documents for examination are to be submitted to the Consulate-General of Japan in Chiang Mai by POST only. FAX, E-mail or by hand is not acceptable. Applicants can submit an application form even if supporting documents are not ready, but will have to submit them at the final stage for examination at our request.

3) Documents submitted will not be returned.

4) The application form is available from the website of the Consulate-General of Japan in Chiang Mai.

Website (Japanese): https://www.chiangmai.th.emb-japan.go.jp/itpr_ja/ggp_j.html

Website (Thai) : https://www.chiangmai.th.emb-japan.go.jp/itpr_th/oda.html

Other programs under KUSANONE

Apart from the Grant Assistance for Grassroots Human Security Projects, the Japanese Government extends other programs under KUSANONE. Please consult with the Consulate-General staff for further details:

(1) KUSANONE for Recycling: This provides overseas transportation fees for used items, e.g. fire engines, ambulances, bicycles, desks and chairs, and temporary houses, etc., which are donated by NGOs/local governments in Japan to NGOs/local governments in Thailand. The applicant should search for and contact directly the NGOs/local governments in Japan.

(2) KUSANONE for Anti-personnel landmine counter measures: This supports projects conducting mine clearance activities, assistance to mine victims, and mine avoidance education. A project related to landmines clearance will be carefully studied in terms of its necessity in relation to "Community Development". The applicant organization is also required to have been active for at least three years in landmine related activities. Regarding funding capacity, the applicant organization is expected to be able to bear up to half of the project cost, excluding the purchase cost of equipment/materials to be funded by KUSANONE. The aim is to secure a smooth implementation and sustainability of project activities, and also to ensure the spirit of KUSANONE in providing support to self-reliant efforts.

In this connection, it is to be noted that the following items are not supported:

Personnel expenses of applicant organization's staff not directly related to landmine clearance (i.e. payroll costs borne irrespective of implementation of the project),

Expenditure at the organization's head office, not directly related to landmine clearance.

For further information and inquiries:

Coordinator for KUSANONE, Consulate-General of Japan in Chiang Mai

Airport Business Park, 90 Mahidol Rd., T.Haiya, A. Muang, Chiang Mai 50100,Thailand,

Tel.052-012-500 Email: kusanone@tm.mofa.go.jp

Website: (Japanese) http://www.chiangmai.th.emb-japan.go.jp/itprtop_ja/index.html

(Thai) http://www.chiangmai.th.emb-japan.go.jp/itprtop_th/index.html